

Eco 133 – Security Markets & Financial Institutions. UCSC

Summer Session 1 (June 20 – July 22) 2016 – Online

Lecturer Mo Hassan

Office Hours: Available Online: Use email for contact. Contact via UCSC email or Canvas.

Course Objectives & Methodology:

This course examines all major financial markets: equities, bonds, and options. It uses modern financial theory including asset pricing models such as CAPM. This is not a personal wealth management course and NO personal financial advisory will be provided. All information provided is for educational and theoretical applications only. You will need a calculator and the textbook. In addition, this course will require reading of news research and financial periodicals/websites.

Required Text: Essentials of Investment, 9th edition by Bodie. McGraw Hill publishing. E book option is available.

ISBN-13: 978-0078034695

Course Requirements:

Financial/Market Related News Report	30 pts	5%
Assignments – Problem Solving Online 12@10pts	120	22%
Quizzes 12 @ 20pts ea.	240	44%
Final Exam	100	18%
Online Learn Smart Interactive 12@ 5pts	60	11%
Total	550 pts	100%

News Report: News Report should address the What, Why, Who and How of the financial news story. Your financial news must be recent and no older than two months. The news should address topics such corporate finance, IPO, equity markets, stocks, bonds, financial fraud, mutual funds, mergers, etc.. Written Report: provide a one-two pages typed summary/relation to text of your news article. Submit on date of your presentation. Sources: Financial Times, The Economist, The New York Times, The Wall Street Journal, Business Week, Yahoo Finance, Google Finance, cnbc.com. You must sign up in class.

Exams: Exam/Quizzes will be Multiple Choice and will be conducted in class. .

Class Participation: This will be determined by your attendance and participation in In Class activities. Online Interactive Assignments will be required.

Grades: A =90% + / B = 80 - 89% / C = 70 – 79% / D = 60 – 69% / F = below 60%

**This Class complies with ADA policies. If you have any type of disability, please submit your authorization letter for accommodation from the DRC (Summer Session Unit) (drc@uccsc.edu) to me within the first week to arrange for accommodations.

Class Plan – Schedule – Summer Session 1 - 2016

	Assignment
Week 1 –June 20	Introductions/Orientation
	Read Ch 1 – Read Playing with Fire Article. http://www.economist.com/node/21547999
	Read Ch 1/Quiz
	Read Ch 2 – IPO Man Article. http://www.wired.com/2013/03/ipo-man/
	Read Ch 2 /Quiz
	Read Ch 3 / Quiz
	Read Ch 4 / Quiz
	Read Ch 4 - How to Choose a Mutual Fund Like a Professional. http://finance.yahoo.com/news/choose-mutual-funds-professional-070043074.html
Week 2 – June 27	Read Ch5 / Quiz
	Read Ch 6 / Quiz
	Read Ch 7 / Quiz
	Read Ch 7 / Why Div doesn't work Article http://physicsoffinance.blogspot.com/2012/10/why-diversification-doesnt-work.html
Week 3 – July 5	Read Ch 8 / Quiz
	Read Ch 9 / Quiz
	Read Ch 10 / Quiz
Week 4 –July 12	Read Ch 13 /Quiz
	Read Ch 14 /Quiz
Week 5 – July 19	Read Ch 15 / Quiz
	Final Exam

All Assignments and course material will be Online. The McGraw Hill CONNECT platform will be used in providing Interactive assignments, quizzes and the final exam. An e book option will be available with your CONNECT registration. All assignments and quizzes will have exact due dates. You must complete before due date expiration. Final Exam will be online and will be available during the last week of this session. Please note: Class orientation and all other information will be posted on the class site.

BONUS – Extra Credit: The 13th assignment or quiz will be considered as a Bonus/Extra Credit. You're required to complete 12 chapters work/online assignments/quizzes. Thus, if you do all 13, you earn Bonus points. Bonus points will be added to your total earned. Thus No Make Ups. No Re dos on any quiz/assignment. Once expiration date expires, then it's over.

Class Policies

Assignments:

All assignments must be professionally completed and turned in by the due date. All Assignments must be typed (grammar & spell checked) or you may use Excel. You must show all your work/formulas when solving problems. Late submissions will not be accepted. Please refer to the syllabus for due dates. Plagiarizing on any assignment will result in a zero grade for the assignment.

Make Up Policy:

There are no make ups in this class. All assignments and exams must be taken at the time and date they are scheduled. Only excused students (excused by the professor) prior to assignment due date can turn in a late assignment. Excuses are granted only in emergency or medical situation which must be documented.

Exam/Quizzes:

All exams and quizzes will be online and must be completed by due date stated. You will have one quiz per chapter and one final comprehensive exam. Quizzes and Exams will be Multiple Choice/Problem solving questions.

News Report:

You will select news related to class subjects, security markets, international finance, IPO, mutual funds, ETF, stocks, bonds, options, etc. You must address the why, what, who, and when about your news. Provide reasoning for selecting the news and reflection on it. Your news selection must have educational value. Along with the presentation, you will write a summary/reflection on your news report. Your news summary can be 1-2pages typed and spell/grammar checked. The written report is due on your presentation date. Sources: Yahoo Finance, Economist, Wall Street Journal, CNBC, NY Times, Business Week, Reuters, Google Finance, etc.

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